

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10020552
Filing Date		2001-10-30
First Named Inventor	Nicastro	
Art Unit	3624	
Examiner Name		
Attorney Docket Number	TRIRG-01000US0	

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	1	4578768		1986-03-25	Racine	
	2	4700318		1987-10-13	Ockman	
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	4	5179651		1993-01-12	Taaffe et al.	
	5	5201046		1993-04-06	Goldberg et al.	
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	7	5293479		1994-03-08	Quintero et al.	
	8	5305389		1994-04-19	Palmer	

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	9	5357439		1994-10-18	Matsuzaki et al.	
	10	5428782		1995-06-27	White	
	11	5434994		1995-07-18	Shaheen et al.	
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	23	5940820		1999-08-17	Kagiwada	
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	32	6044382		2000-03-28	Martino	
	33	6056556		2000-05-02	Bruan et al.	
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	39	6295513		2001-09-25	Thackston	
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	2	20010034771		2001-10-25	Hutsch et al.	
	3	20010042094		2001-11-15	Mitchell et al.	
	4	20010047251		2001-11-29	Kemp	
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	8	20030028779		2003-02-06	Rowe	
	9	20040243483		2004-12-02	Baumann et al.	

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	1	9838564	WO		1998-09-03	Siebel Systems, Inc.		<input type="checkbox"/>
	2	9922332	WO		1999-05-06	Interdesign HDL		<input type="checkbox"/>
	3	9933007	WO		1999-07-01	Rightworks Corporation		<input type="checkbox"/>
	4	0114987	WO		2001-03-01	Terraspring, Inc.		<input type="checkbox"/>

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	1	FRANKLIN ET AL., "Transactional Client-Server Cache Consistency: Alternatives and Performance", September 1997, ACM Transactions on Database Systems, Vol. 22, No. 3, pages 315-363	<input type="checkbox"/>	
	2	BAYEH, "The WebSphere Application Server Architecture and Programming Model," IBM Systems Journal, Vol. 37, No. 3, 1998, pp. 336-348	<input type="checkbox"/>	
	3	ZHAO, "Technical Note - WebEntree: A Web Service Aggregator," IBM Systems Journal, Vol. 37, No. 4, 1998, pp. 584-595	<input type="checkbox"/>	
	4	SAIMI ET AL., "Presentation Layer Framework of Web Application Systems with Server-side Java Technology, Computer Software and Applications Conference, 2000, October 25, 2000, pp. 473-478	<input type="checkbox"/>	

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Larry E. Vierra/	Date (YYYY-MM-DD)	2007-03-26
Name/Print	Larry E. Vierra	Registration Number	33809

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